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IAP5 Rec'd PCT/PTO 23 MAR 2006

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Patent

Attorney Docket # 502902-227PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of
Herbert BRUNNER et al.

Int'l PCT Serial No.: PCT/DE2004/002135

Int'l Filing Date:: 24 September 2004

For: High-Efficiency LED-Based Illumination
System with Improved Color Rendering

INFORMATION DISCLOSURE STATEMENT

Mail Stop **PCT**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

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Dated: March 23, 2006

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| Substitute for Form 1449/PTO | | <i>Complete if Known</i> | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Application Number | 101574021 |
| | | Filing Date | |
| | | First Named Inventor | Herbert BRUNNER |
| | | Art Unit | |
| | | Examiner Name | |
| Sheet | 1 | of | 1 |
| | | Attorney Docket Number | 502902-227PUS |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|--|----------------|
| | | Country Code ³ , Number ⁴ Kind-Code ⁵ (if known) | | | | |
| /N.W./ | | WO 2004/030109 A | 04/08/2004 | Osram Opto Semiconductors GmbH | | |
| /N.W./ | | EP 1 413 618 A | 04/28/2004 | Osram Opto Semiconductors GmbH | | |
| /N.W./ | | EP 1 264 873 A | 12/11/2002 | National Institute for Material Science | | |
| /N.W./ | | EP 1 104 799 A | 06/06/2001 | Osram Opto Semiconductors GmbH | | |
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|--------------------|-------------------|-----------------|------------|
| Examiner Signature | /Natalie Walford/ | Date Considered | 03/30/2009 |
|--------------------|-------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional). * See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

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